

ABOUT DEAN KATZELIS

Dean Katzelis is the Senior Founding Partner of Essex Asset Management. Essex Asset Management is registered with the Commodity Futures Trading Commission (CFTC) an agency of the federal government and a member of the National Futures Association (NFA), the self regulatory association of the futures industry which was authorized by Congress in 1982. Dean Katzelis is registered with the CFTC and as a Principal and Associate Member of the NFA.



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He has been registered as an Associated Person and Series 3 Broker for the last 28 years, since May of 1987. In April 1990 he founded and became the Principal of what would become Essex Asset Management, one of the oldest futures brokerages in Southern California. Dean is currently the Senior Partner of Essex Asset Management; a California based Introducing Brokerage located in Irvine, California. His career with Essex has afforded him valuable experience in the futures markets. As a speaker, he has conducted and spoke at many local investment seminars, trade shows and workshops in the Southern California area.

Mr. Katzelis strongly believes in the benefits of Managed Futures as a viable investment asset class. He has studied the merits and points made in Modern Portfolio Theory, written by the Nobel Prize award winner, Dr. Harry Markowitz, which states that if one wishes to increase the performance and reduce the risk in an overall investment portfolio, they should combine investments that are non-correlated with one another. Managed Futures has little or no correlation with the stock market and has proven to be an excellent non-correlated, diversified alternate investment to traditional stock and bond portfolios. Past performance is not necessarily indicative of future re-

sults, the risk of loss exists in futures trading.

In his investment seminars, Dean Katzelis supports his beliefs in the viability of the asset class of Managed Futures with academic studies by Markowitz, Dr. John Lintner of Harvard and Dr. Thomas Schneeweis of the University of Massachusetts as well as the recently published, well written, comprehensive booklet by the CME Group on Managed Futures, entitled “Managed Futures: Portfolio Diversification Opportunities”. Essex Asset Management offers Managed Futures as well as online trading and broker assisted trading accounts.

Dean graduated from the University of La Verne in 1979, cum laude with a Bachelors Degree in Psychology and Education. Dean was a four year varsity letterman in basketball at La Verne and still enjoys playing basketball recreationally today. His hobbies also include bodybuilding, tennis, music and travel. He is married to his lovely wife Regina with five children and two grandchildren. He is a long time resident of South Orange County and has resided in Southern California for the last 50 years.

Essex Asset Management. Your Investment Goals. Our Expertise.

Trading futures and options involves substantial risk of loss no matter who is managing your money and is not suitable for all investors. Past performance is not necessarily indicative of future results. An investor must read and understand the current disclosure document before investing.

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