

To Apply for an Account

- Please sign the CFTC Risk Disclosure Statement (Form 1) on page 3
- Please complete the Account Information (Form 2) and sign on pages 4-6
- Please complete IRS required information and sign (Form W-9 or Form W-8BEN) on pages 7-8
- Vision recommends that you sign the Consent to Electronic Delivery of Vision's Confirmations and Statements (Form 3) on page 9, but you are not required to do so
- Please initial on the lines before Sections 32-35 of the Customer Agreement (Form 4) and sign the Customer Agreement on page 14
- Please sign (if applicable) the Additional Risk Disclosure (Form 6) on page 16
- Vision recommends that you sign the Arbitration Agreement (Form 8) on page 20, but you are not required to do so
- Please sign (if applicable) the Acknowledgement of Risk Disclosure Statement for Security Futures Products (Form 9) on page 21
- Please sign (if applicable) the Hedge Account Representation Letter (Form 11) on page 23
- Please complete and sign the:
 - Account Application (Form 12) on page 24
 - Corporate Resolutions and Certificate (Form 13) on page 25
- Please sign (if applicable) notice to the Foreign Brokers and Traders (Form 14) on page 26
- Please sign (if applicable) the Personal Guarantee Agreement (Form 16) on page 29
- Please send to Vision a copy of the authorized signatory's current passport, drivers license or other government issued document

Other

Accounts Introduced by Independent IBs

- Please sign FORM 5 on page 15

Online Trading

- Please sign the Online Services Agreement (FORM 7) on page 19

To Transfer an Existing Account

- Please complete and sign FORM 10 on page 22

Funding Your Account

To begin trading, you may fund your account in two ways:

1. Checks (Please make checks payable to "VISION FINANCIAL MARKETS LLC")

Certified checks PAYABLE TO "VISION FINANCIAL MARKETS LLC CUSTOMER SEGREGATED FUNDS ACCOUNT," are considered cleared funds and allow you to trade immediately. Generally, a transfer from another brokerage firm will be considered as cleared funds. All other instruments including bank/cashier checks/personal checks may require up to ten (10) days for bank clearance. Any checks made payable to an Introducing Broker WILL NOT be accepted.

DO NOT SEND CHECKS DIRECTLY TO HARRIS BANK. PLEASE SEND THEM TO YOUR BROKER OR VISION'S NEW YORK OFFICE (ONE WHITEHALL STREET, 15TH FLOOR, NEW YORK, NY 10004).

2. Bank wires (the recommended method)

Vision considers wires as cleared funds and allows you to trade immediately upon receipt of the funds.

You May Wire US Dollar Funds To:

Harris N.A.
111 West Monroe
Chicago, IL 60603
ABA number: 071000288
For: Vision Financial Markets LLC
Customer Segregated Funds Account
Number: 438-240-4
Further Credit to the Account
 of _____

You May Wire Euro Currency Funds To:

Lloyds Bank
London, United Kingdom
Swift Code: LOYDGB2L
For: Harris N.A.
Swift Code: HATRUS44
A/C: 59013098
For: Vision Financial Markets LLC
A/C: 300894382420
Further Credit to the Account
 of _____

For wire instructions on other currencies, please send an e-mail to: treasury@vfmarkets.com

Make a copy for your records. Please return booklet to Vision Financial Markets LLC.